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Robust finite-time output feedback stabilization of the double integrator

Emmanuel Bernuau, Wilfrid Perruquetti, Denis Efimov and Emmanuel Moulay

Abstract—The problem of finite-time output stabilization of the double integrator is addressed applying the homogeneity approach. A homogeneous controller and a homogeneous observer are designed (for different degrees of homogeneity) ensuring the finite-time stabilization. Their combination under mild conditions is shown to stay homogeneous and finite-time stable as well. Robustness and effects of discretization on the obtained closed loop system are analyzed. The efficiency of the obtained solution is demonstrated in computer simulations.

IN many applications the nominal models have the double integrator form (mechanical planar systems, for instance). Despite its simplicity, this model is rather important in the control theory since frequently a design method developed for the double integrator can be extended to a more general case (via backstepping, for example). Most of the current techniques for nonlinear feedback stabilization provide an asymptotic stability: the obtained closed-loop dynamics is locally Lipschitz and the system trajectories settle at the origin when the time is approaching infinity. Such a rate of convergence is not admissible in many applications, this is why the Finite-Time Stability (FTS) notion is quickly developing during the last decades: solutions of a FTS system reach the equilibrium point in a finite time. For example, for $x \in \mathbb{R}$ and $\alpha \in (0, 1)$, the solutions of $\dot{x} = -\text{sign}(x)|x|^\alpha$ starting from $x_0 \in \mathbb{R}$ at $t_0 = 0$ are

$$\begin{cases} \text{sign}(x_0)[|x_0|^{1-\alpha} - (1-\alpha)t]^{\frac{1}{1-\alpha}} & \text{if } 0 \leq t \leq \frac{|x_0|^{1-\alpha}}{1-\alpha} \\ 0 & \text{if } t > \frac{|x_0|^{1-\alpha}}{1-\alpha} \end{cases}.$$

Let us note that the right hand side of the above differential equation is not Lipschitz. In fact, finite-time convergence implies non-uniqueness of solutions (in backward time) which is not possible in the presence of Lipschitz-continuous dynamics, where different maximal trajectories never cross.

Engineers are interested in the FTS because one can manage the time for solutions to reach the equilibrium which is called the *settling time*. An important issue is the settling time function regularity at the origin, studied in [1] under the assumption of uniqueness of solutions in forward time. The problem of finite-time stability has been developed for continuous systems giving sufficient and necessary condition (see [2], [3]). In addition, necessary and sufficient conditions appear for discontinuous systems (see [4]). It was observed in many papers that FTS can be achieved if the system is locally asymptotically stable and *homogeneous* with negative degree [5]. This is why the homogeneity plays a central role in the FTS system design. The reader may found additional properties and results on homogeneity in [6], [7], [8], [9], [10]. The homogeneity property was used many times to design FTS state controls [11], [12], [13], [14], [15], [16], FTS observers [17], [18], [19], consensus protocols [20] and FTS output feedback [21], [22]. Particular attention was paid to triangular systems [23], [24].

The goal of the present work is twofold. First, a technique to design a FTS output feedback controller for the double integrator is presented. Since the double integrator is controllable, open-loop control strategies can be used to drive the state to the origin in a finite time (see [25], [26], [27] for a minimum time optimal control). Based on homogeneity, Bhat and Bernstein in their paper [11] provided a homogeneous FTS state controller for the double integrator under rather restrictive conditions on parameters of the controller. In [28] an output feedback control is proposed based on homogeneity techniques

and on a sliding-mode observer. The approach proposed here relies on the theories of homogeneity and input-to-state stability in continuous systems [29], [32].

Second, the robustness properties of this output control algorithm are studied. It is shown in [28] that this control is robust with respect to disturbances bounded by a function of the output. Our objective in this work is to relax the applicability conditions for the control obtained in [30], and to improve robustness abilities of the FTS output control with respect to [28] with purely continuous controller and observer. The improvement idea is, again, based on the homogeneity framework application. Finally, the effects of the control discretization on the system stability is studied. It is shown that, provided that the sampling rate is small enough, practical stability is achieved, and a qualitative estimation of the asymptotically stable set is given.

The outline of this work is as follows. Notation and introduction of the FTS and the homogeneity concepts are given in Section 2. The precise problem formulation is presented in Section 3. The output FTS controller is designed in Section 4. The robustness and the influence of the discretization are studied in Section 5. The results of computer simulations of the proposed control algorithm are presented in Section 6.

I. PRELIMINARIES

A. Notation

Through the paper the following notation will be used:

- $\mathbb{R}_+ = \{x \in \mathbb{R} : x \geq 0\}$, where \mathbb{R} is the set of real numbers.
- For any real number $\alpha \geq 0$ and for all $x \in \mathbb{R}$ we define $[x]^\alpha = \text{sign}(x)|x|^\alpha$.
- A continuous function $\alpha : \mathbb{R}_+ \rightarrow \mathbb{R}_+$ belongs to the class \mathcal{K} if $\alpha(0) = 0$ and the function is strictly increasing; a class \mathcal{K} function belongs to the class \mathcal{K}_∞ if it is increasing to infinity.
- A continuous function $\beta : \mathbb{R}_+ \times \mathbb{R}_+ \rightarrow \mathbb{R}_+$ belongs to the class \mathcal{KL} if $s \mapsto \beta(s, t)$ is a class \mathcal{K} function for any fixed t and $\beta(s, t)$ is decreasing to 0 when $t \rightarrow +\infty$ for any fixed s .

B. Finite-time stabilization

Let us consider the closed loop system

$$\dot{x} = F(x), \tag{1}$$

where F is a continuous vector field.

Definition 1. [1] The origin of the system (1) is *finite-time stable* (FTS) iff there exists a neighborhood of the origin \mathcal{V} such that:

- 1) For any $x_0 \in \mathcal{V}$ there exists $t_0 \geq 0$ such that for any solution $x(t)$ of (1) such that $x(0) = x_0$ we have $x(t) = 0$ for all $t \geq t_0$. We denote $T(x_0)$ the infimum of all such t_0 and we call the function $T : \mathcal{V} \rightarrow \mathbb{R}_+$ the *settling-time function* of the system (1).
- 2) For any neighborhood of the origin $\mathcal{U}_1 \subset \mathcal{V}$, there exists a neighborhood of the origin \mathcal{U}_2 such that for any $x_0 \in \mathcal{U}_2$ and any solution $x(t)$ of (1) such that $x(0) = x_0$ we have $x(t) \in \mathcal{U}_1$ for all $t \geq 0$.

Moreover, if the neighborhood \mathcal{V} can be chosen to be \mathbb{R}^n , then the origin of the system (1) is said to be *globally finite-time stable* (GFTS).

Assuming forward uniqueness of solutions and the continuity of the settling time function, Bhat and Bernstein (see [1, Definition 2.2]) showed that FTS of the origin is equivalent to the existence of a C^1 positive definite function V defined on a neighborhood of the origin satisfying $\dot{V}(x) \leq -cV(x)^a$ with $a \in (0, 1)$, $c > 0$. In order to circumvent the classical Lyapunov function art of design, one can use homogeneity conditions recalled below.

C. Homogeneity

Let $\mathbf{r} = (r_1, \dots, r_n)$ be a n -uplet of positive real numbers, thereafter called a *generalized weight*. Then $\Lambda_r x = (\dots, \lambda^{r_i} x_i, \dots)$ for any positive number λ represents a mapping $x \mapsto \Lambda_r x$ usually called a dilation (see [8]).

Definition 2. A function $h : \mathbb{R}^n \rightarrow \mathbb{R}$ is \mathbf{r} -homogeneous of degree $\kappa \in \mathbb{R}$ if for all $x \in \mathbb{R}^n$ and all $\lambda > 0$ we have $h(\Lambda_r x) = \lambda^\kappa h(x)$.

Definition 3. A vector field $F : \mathbb{R}^n \rightarrow \mathbb{R}^n$ is \mathbf{r} -homogeneous of degree κ if for all $x \in \mathbb{R}^n$ and all $\lambda > 0$ we have $F(\Lambda_r x) = \lambda^\kappa \Lambda_r F(x)$, or equivalently, if the coordinate functions F_i are \mathbf{r} -homogeneous of degree $\kappa + r_i$. When such a property holds, the corresponding nonlinear ODE (1) is said to be \mathbf{r} -homogeneous of degree κ .

Among many properties of homogeneous systems, let us mention the following results that will be of great importance to demonstrate the qualitative properties of the systems studied throughout the paper.

Theorem 1. [5] *Let F be a continuous \mathbf{r} -homogeneous vector field on \mathbb{R}^n of negative degree. If the origin is Locally Asymptotically Stable (LAS) then it is GFTS.*

Theorem 2. [5] *Let F be a continuous \mathbf{r} -homogeneous vector field on \mathbb{R}^n of degree $\kappa \in \mathbb{R}$. If the origin is GAS, then for all $\mu > \max\{0, -\kappa\}$ there exists a continuous positive definite function $V : \mathbb{R}^n \rightarrow \mathbb{R}$, \mathbf{r} -homogeneous of degree μ such that V is C^1 on $\mathbb{R}^n \setminus \{0\}$ and for all $x \neq 0$ we have $d_x V F(x) < 0$.*

II. PROBLEM FORMULATION

Our contribution aims at designing a FTS output feedback based on homogeneity for the following double-integrator system

$$\begin{cases} \dot{x}_1 &= x_2, \\ \dot{x}_2 &= u(x_1, x_2), \\ y &= x_1, \end{cases} \quad (2)$$

where x_1 and x_2 are the states of the system, u is the input and y is the output. We will proceed in four steps:

- 1) Design a homogeneous state feedback control ensuring GFTS for the double integrator

$$\begin{cases} \dot{x}_1 &= x_2, \\ \dot{x}_2 &= u. \end{cases} \quad (3)$$

- 2) Design a homogeneous observer

$$\begin{cases} \dot{\hat{x}}_1 &= \hat{x}_2 - \chi_1(y - \hat{x}_1), \\ \dot{\hat{x}}_2 &= u - \chi_2(y - \hat{x}_1), \end{cases} \quad (4)$$

where χ_1 and χ_2 are functions to be designed such that the origin is GFTS for the error $e = x - \hat{x}$ equation:

$$\begin{cases} \dot{e}_1 &= e_2 + \chi_1(e_1), \\ \dot{e}_2 &= \chi_2(e_1). \end{cases} \quad (5)$$

- 3) Show a separation principle such that the obtained observer-based closed loop system is GFTS

$$\begin{cases} \dot{\hat{x}}_1 &= x_2 \\ \dot{\hat{x}}_2 &= u(y, \hat{x}_2) \\ y &= x_1 \end{cases}, \quad (6)$$

where \hat{x}_2 is obtained from (4).

- 4) Study the robustness of the closed loop system and the influence of the discretization of the control and of the observer. Since this study is based on the results of [31], [32], which deal with continuous-time systems, continuous controller and observer are considered only.

III. FINITE-TIME OUTPUT FEEDBACK BASED ON HOMOGENEITY

A. Finite-time control

Let us consider the double integrator (3) with the following control

$$u = k_1 [x_1]^{\frac{\alpha}{2-\alpha}} + k_2 [x_2]^\alpha, \quad (7)$$

with $\alpha \in [0, 1]$. Let us mention that, letting $\alpha = 0$, we recover the discontinuous system

$$\begin{cases} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= k_1 \text{sgn}(x_1) + k_2 \text{sgn}(x_2) \end{cases}. \quad (8)$$

Since we shall restrict ourselves to continuous systems, we will consider $\alpha > 0$ and we let the reader refer to [33] and the references therein for a study of the case $\alpha = 0$. On the other hand, taking $\alpha = 1$, we recover a linear system. Hence, in all the sequel, we assume $\alpha \in (0, 1)$.

The system (3) with the feedback (7) takes the form

$$\begin{cases} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= k_1 [x_1]^{\frac{\alpha}{2-\alpha}} + k_2 [x_2]^\alpha \end{cases}. \quad (9)$$

A direct verification shows that, taking $\mathbf{r} = (2 - \alpha, 1)$, the system (9) is \mathbf{r} -homogeneous of degree $\alpha - 1 < 0$.

Theorem 3. *If $k_1 < 0$ and $k_2 < 0$ then the system (9) is GFTS.*

Proof. Consider the following function

$$V : x \mapsto \frac{-k_1(2 - \alpha)}{2} |x_1|^{\frac{2}{2-\alpha}} + \frac{x_2^2}{2}. \quad (10)$$

The function V is continuously differentiable, proper, \mathbf{r} -homogeneous of degree 2 and $\dot{V} = k_2 |x_2|^{1+\alpha}$. Since $k_1 < 0$ and $k_2 < 0$, the function V is definite positive, and \dot{V} is negative semi-definite. A direct application of the LaSalle invariance principle shows that the origin is GAS for the system (9). Being homogenous of negative degree, the system (9) is therefore GFTS by Theorem 1. \square

Remark 1. In [30] these conditions have been obtained for α sufficiently close to one.

This result was also proven in [28] under the additional assumption $k_1 < k_2$, which is only necessary when considering $\alpha = 0$.

B. Finite-time observer design

A finite-time observer for a canonical observable form was constructed for the first time in [17]. Similar ideas were used in [28] for designing a discontinuous finite-time observer. In both cases, the proof of finite-time stability was based on homogeneity. In the case of the double integrator, the observer of [17] is

$$\begin{cases} \dot{\hat{x}}_1 &= \hat{x}_2 - l_1 [y - \hat{x}_1]^\beta \\ \dot{\hat{x}}_2 &= u - l_2 [y - \hat{x}_1]^{2\beta-1} \end{cases}, \quad (11)$$

with $\beta \in (\frac{1}{2}, 1)$.

The error dynamics can be written as follows

$$\begin{cases} \dot{e}_1 &= e_2 + l_1 |e_1|^{\beta} \\ \dot{e}_2 &= l_2 |e_1|^{2\beta-1} \end{cases} \quad (12)$$

where $e = x - \hat{x}$ and the right hand side is ρ -homogeneous of degree $\rho_1(\beta - 1)$ where $\rho = (\rho_1, \rho_1\beta)$.

When taking $\beta = 1$, we recover a linear equation. When taking $\beta = 1/2$, we recover a particular case of the discontinuous observer from [28] and we will again omit this case to restrict ourselves to continuous systems. In [17], the FTS of the system (12) was proved for $\beta \in (1 - \varepsilon, 1)$ for a sufficiently small $\varepsilon > 0$. Here we shall prove that the system is FTS for all $\beta \in (\frac{1}{2}, 1)$ and all $\rho_1 > 0$.

Theorem 4. *The observer (11) with $\chi_1(e_1) = l_1 |e_1|^{\beta}$, $\chi_2(e_1) = l_2 |e_1|^{2\beta-1}$ is GFTS in the coordinates (e_1, e_2) for any $\beta \in (\frac{1}{2}, 1)$, and for any $l_1 < 0$ and $l_2 < 0$.*

Proof. Consider the following function

$$V(e) = -\frac{l_2}{2\beta} |e_1|^{2\beta} + \frac{e_2^2}{2}.$$

The function V is positive definite, proper, continuously differentiable and homogeneous with degree $2\rho_1\beta$. Moreover, we compute $\dot{V}(e) = -l_1 l_2 |e_1|^{3\beta-1} \leq 0$. By the LaSalle invariance principle, we easily prove that the system (12) is GAS. Being homogeneous, this system is therefore GFTS by Theorem 1. \square

Thus the observer (11) ensures observation of the state of the system (2) in a finite time for any initial condition.

C. Finite-time stable observer based control

Our aim is now to use the two preceeding subsections to build a finite-time observer based control. In view of Theorem 3, we assume here that $k_1 < 0$ and $k_2 < 0$. Let us rewrite the system (6) for the designed FTS control (7) and the FTS observer (12) (in the estimation error coordinates)

$$\begin{cases} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= k_1 [x_1]^{\frac{\alpha}{2-\alpha}} + k_2 [x_2 - e_2]^{\alpha} \\ \dot{e}_1 &= e_2 + l_1 |e_1|^{\beta} \\ \dot{e}_2 &= l_2 |e_1|^{2\beta-1} \end{cases} \quad (13)$$

Remark 2. Note that $x_2 - e_2 = \hat{x}_2$, thus the control depends on the measured output x_1 only. Moreover, we could replace x_1 in this equation by $\hat{x}_1 = x_1 - e_1$ without changing the following results.

To prove the FTS property of this system we need two auxiliary lemmas.

Lemma 1. *For $\theta \in (0, 1)$, the function $a \mapsto [a]^{\theta}$ is θ -Hölder on \mathbb{R} with corresponding constant $2^{1-\theta}$. In particular, for all $e_2 \in \mathbb{R}$, and all $x_2 \in \mathbb{R}$ we have $||x_2 - e_2|^{\alpha} - |x_2|^{\alpha}| \leq 2^{1-\alpha} |e_2|^{\alpha}$.*

Proof. Define for $a, b \in \mathbb{R}$ and $\theta \in (0, 1)$

$$g_{\theta}(a, b) = [a + b]^{\theta} - [a]^{\theta}.$$

Let us show that $|g_{\theta}(a, b)| \leq 2^{1-\theta} |b|^{\theta}$, which will prove the lemma. It is clear that this inequality is true for $b = 0$. In the sequel, we assume $b \neq 0$. An easy verification shows that for all $\lambda > 0$

$$\begin{aligned} g_{\theta}(\lambda a, \lambda b) &= \lambda^{\theta} g_{\theta}(a, b), \\ g_{\theta}(a, b) &= [b]^{\theta} g_{\theta}\left(\frac{a}{b}, 1\right). \end{aligned}$$

Let us denote $h_{\theta} : z \in \mathbb{R} \mapsto g_{\theta}(z, 1)$. The function h_{θ} is differentiable for all $z \notin \{-1, 0\}$ and $h'_{\theta}(z) = \theta(|1 + z|^{\theta-1} - |z|^{\theta-1})$. We easily show that h is strictly increasing on $(-\infty, -1/2)$ and strictly decreasing on $(-1/2, +\infty)$. Thus, we find $0 \leq h(z) \leq h(-1/2) =$

$2^{1-\theta}$. Finally, we have $g_{\theta}(a, b) = [b]^{\theta} g_{\theta}(\frac{a}{b}, 1) = [b]^{\theta} h_{\theta}(\frac{a}{b})$, and therefore $|g_{\theta}(a, b)| \leq 2^{1-\theta} |b|^{\theta}$. \square

Lemma 2. *The system*

$$\begin{cases} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= k_1 [x_1]^{\frac{\alpha}{2-\alpha}} + k_2 [x_2 - e_2]^{\alpha} \end{cases}$$

is Input-to-State Stable (ISS) with respect to the input e_2 .

Input-to-State Stability and other related properties were introduced in [34]. This ISS property was used in [35] for designing finite-time control laws. The ISS property of homogeneous systems has been already studied in [36], [29]. In [36] a general nonlinear homogeneous system is studied with degree greater than or equal to 1; in [29] the degree restriction has been relaxed, but it was assumed that the system dynamics depends linearly on the disturbance. Definitions and properties of ISS systems can be found in these references. In recent works [31], [32] these constraints have been relaxed and an extension to integral ISS was proposed. The lemma is a corollary of Theorem 6 from [32].

We are now in position to formulate the main result of this section.

Theorem 5. *The system (13) is GFTS for any $\alpha \in (0, 1)$ and $\beta \in (1/2, 1)$ for any $k_1 < 0$, $k_2 < 0$, $l_1 < 0$ and $l_2 < 0$.*

Proof. By the stability of the observer and the ISS of the state equation, there exists $\gamma \in \mathcal{K}$ and $\alpha, \beta \in \mathcal{KL}$ such that for any $t_0 \geq 0$ and all $t \geq t_0$

$$\begin{aligned} \|e(t)\| &\leq \alpha(\|e(t_0)\|, t - t_0), \\ \|x(t)\| &\leq \beta(\|x(t_0)\|, t - t_0) + \gamma\left(\sup_{\tau \in [t_0, t]} \|e(\tau)\|\right). \end{aligned}$$

We obviously have the estimates $\sup_{\tau \geq 0} \|e(\tau)\| \leq \alpha(\|e(0)\|, 0)$ and then $\|x(t)\| \leq \beta(\|x(0)\|, 0) + \gamma(\alpha(\|e(0)\|, 0))$. Finally, denoting $\|(x, e)\| = \|x\| + \|e\|$ we find

$$\|(x(t), e(t))\| \leq \beta(\|x(0)\|, 0) + \alpha(\|e(0)\|, 0) + \gamma(\alpha(\|e(0)\|, 0))$$

which gives the stability.

The finite-time convergence of the system is a direct consequence of the finite-time convergence of the error e and the finite-time convergence of the system (9). We conclude that the system (13) is GFTS. \square

Remark 3. It is worth to stress that the system (13) is FTS in coordinates (e_1, e_2) (see Theorem 4) and it is FTS in coordinates (x_1, x_2, e_1, e_2) (Theorem 5). Moreover, taking $\hat{x}(0) = 0$, we find $e(0) = x(0)$ and hence

$$\|x(t)\| \leq \beta(\|x(0)\|, t) + \gamma(\alpha(\|x(0)\|, 0)).$$

This actually proves the stability of the isolated coordinates (x_1, x_2) provided that we choose $\hat{x}(0) = 0$.

Finally, let us mention that results similar to Theorems 4 and 5 were proved in [21] using a different proof methodology.

IV. ROBUSTNESS PROPERTIES OF THE CLOSED LOOP SYSTEM AND EFFECTS OF THE DISCRETIZATION

The output feedback given in Section III has been studied, under slightly different forms, in the literature. We shall now go into the main part of this paper: the robustness of the system under the proposed output feedback and, particularly, the effects of the sampling on the stability.

If we choose $\beta = \frac{1}{2-\alpha}$ and $\rho_1 = 2 - \alpha$ in (13), it is easy to see that the system (13) becomes \mathbf{R} -homogeneous of degree $\alpha - 1$ where $\mathbf{R} = (r_1, r_2, \rho_1, \rho_2) = (2 - \alpha, 1, 2 - \alpha, 1)$. This choice provides

another proof of Theorem 5 without the help of the ISS property: thanks to homogeneity, the attractiveness of the origin implies its stability.

In this section, we will study the robustness properties that we can get in this setting. Indeed, we will be interested in the system

$$\begin{cases} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= k_1 [x_1]^{\frac{\alpha}{2-\alpha}} + k_2 [x_2 - e_2]^\alpha \\ \dot{e}_1 &= e_2 + l_1 [e_1]^{\frac{1}{2-\alpha}} \\ \dot{e}_2 &= l_2 [e_1]^{\frac{\alpha}{2-\alpha}} \end{cases} \quad (14)$$

Assume that the system (14) is subject to disturbances:

- 1) a noise d_1 on the output x_1 ;
- 2) a perturbation d_2 which may appear in the transmission channel between the controller and the observer;
- 3) physical perturbations d_3 like frictions or unmodelled dynamics;
- 4) computationnal errors \hat{d}_1 and \hat{d}_2 on \hat{x}_1 and \hat{x}_2 .

The disturbed system is now

$$\begin{cases} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= k_1 [x_1 + d_1]^{\frac{\alpha}{2-\alpha}} + k_2 [x_2 - e_2 + d_2 + \hat{d}_2]^\alpha + d_3 \\ \dot{e}_1 &= e_2 - \hat{d}_2 + l_1 [e_1 - \hat{d}_1 + d_1]^{\frac{1}{2-\alpha}} \\ \dot{e}_2 &= l_2 [e_1 - \hat{d}_1 + d_1]^{\frac{\alpha}{2-\alpha}} + d_3 \end{cases} \quad (15)$$

Let us denote the disturbance $\mathbf{d} = (d_1, d_2, d_3, \hat{d}_1, \hat{d}_2)$.

We have the following robustness result:

Theorem 6. *Under the conditions of Theorem 5, the system (15) is ISS with respect to the input \mathbf{d} .*

Proof. This claim follows a direct application of the results from Theorem 6 of [32]. \square

This result states that some stability properties pertain for the system (14) under the aforementioned disturbances. Indeed, if these perturbations are bounded, practical stability¹ is achieved. In addition, the shape of asymptotic gain function has also been evaluated in [32] based on the homogeneity arguments, and if the input \mathbf{d} admits small-gain conditions, then GFTS property can be preserved for (15) that is an improvement of [28] (where a similar result has been proven for $\mathbf{d} = d_3$ only).

Similarly, we can study the influence of the discretization of the control and the observer in our observer-based feedback. We assume that there exists a sequence of times $(t_k)_{k \in \mathbb{N}}$ increasing to $+\infty$ at which the observer and the control are updated, such that $0 < t_{k+1} - t_k \leq h$. For $t \in (t_k, t_{k+1})$, the observer and the control remain constant. The system can be rewritten, for $t \in [t_k, t_{k+1})$

$$\begin{cases} \dot{x}_1(t) &= x_2(t) \\ \dot{x}_2(t) &= k_1 [x_1(t_k)]^{\frac{\alpha}{2-\alpha}} + k_2 [\hat{x}_2(t_k)]^\alpha \\ \hat{x}_1(t_{k+1}) &= \hat{x}_1(t_k) + (t_{k+1} - t_k) \times \\ &\quad \left(\hat{x}_2(t_k) - l_1 [x_1(t_k) - \hat{x}_1(t_k)]^{\frac{1}{2-\alpha}} \right) \\ \hat{x}_2(t_{k+1}) &= \hat{x}_2(t_k) + (t_{k+1} - t_k) \times \\ &\quad \left(u(t_k) - l_2 [x_1(t_k) - \hat{x}_1(t_k)]^{\frac{\alpha}{2-\alpha}} \right) \end{cases} \quad (16)$$

To compare this discrete system with the continuous system (14), we need to define some other variables. We define, for $t \in [t_k, t_{k+1})$

$$\begin{cases} \dot{\hat{x}}_1(t) &= \hat{x}_2(t_k) - l_1 [x_1(t_k) - \hat{x}_1(t_k)]^{\frac{1}{2-\alpha}} \\ \dot{\hat{x}}_2(t) &= u(t_k) - l_2 [x_1(t_k) - \hat{x}_1(t_k)]^{\frac{\alpha}{2-\alpha}} \end{cases} \quad (17)$$

¹A system $\dot{x} = f(x)$ is *practically stable* if there exists an asymptotically stable compact set.

Setting $\tilde{x}_1(t_0) = \hat{x}_1(t_0)$ and $\tilde{x}_2(t_0) = \hat{x}_2(t_0)$ leads to $\tilde{x}_1(t_k) = \hat{x}_1(t_k)$ and $\tilde{x}_2(t_k) = \hat{x}_2(t_k)$ for any $k \in \mathbb{N}$. These variables are affine interpolations of the discrete system. We are naturally led to define new “observation errors” by $\varepsilon_1 = x_1 - \tilde{x}_1$ and $\varepsilon_2 = x_2 - \tilde{x}_2$. Finally, setting $\pi(t) = \max\{t_k, t_k \leq t\}$ and

$$\begin{cases} d_1(t) &= x_1(t_k) - x_1(t) = x_1(\pi(t)) - x_1(t) \\ \tilde{d}_2(t) &= \tilde{x}_2(t_k) - \tilde{x}_2(t) = \tilde{x}_2(\pi(t)) - \tilde{x}_2(t) \\ \tilde{d}_1(t) &= \tilde{x}_1(t_k) - \tilde{x}_1(t) = \tilde{x}_1(\pi(t)) - \tilde{x}_1(t) \end{cases} \quad (18)$$

we get, for $t \in \mathbb{R}_+$

$$\begin{cases} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= k_1 [x_1 + d_1]^{\frac{\alpha}{2-\alpha}} + k_2 [x_2 - \varepsilon_2 + \tilde{d}_2]^\alpha \\ \dot{\varepsilon}_1 &= \varepsilon_2 - \tilde{d}_2 + l_1 [\varepsilon_1 - \tilde{d}_1 + d_1]^{\frac{1}{2-\alpha}} \\ \dot{\varepsilon}_2 &= l_2 [\varepsilon_1 - \tilde{d}_1 + d_1]^{\frac{\alpha}{2-\alpha}} \end{cases} \quad (19)$$

Therefore, setting $z = (x_1, x_2, \varepsilon_1, \varepsilon_2)$ and $\Delta = (d_1, \tilde{d}_1, \tilde{d}_2)$, Theorem 6 yields that the system (19) is ISS w.r.t. the input Δ . But we can actually characterize this property more precisely. Let us denote $\tilde{N}(\Delta) = |d_1|^{\frac{1}{2-\alpha}} + |\tilde{d}_1|^{\frac{1}{2-\alpha}} + |\tilde{d}_2|$. The function \tilde{N} is $\tilde{\mathbf{R}}$ -homogeneous of degree 1 with $\tilde{\mathbf{R}} = (2 - \alpha, 2 - \alpha, 1)$.

Proposition 1. *Consider a \mathbf{R} -homogeneous Lyapunov function V of degree μ for the \mathbf{R} -homogeneous system (14), as given by Theorem 2. There exists a constant $C_1 > 0$ such that the solutions $z(t)$ of system (19) with input $\Delta(t)$ verify:*

$$V(z(t)) \leq \max\{\beta(V(z(0))), t\} + C_1^\mu \sup_{\tau \in [0, t]} \tilde{N}(\Delta(\tau))^\mu, \quad \forall t \geq 0,$$

with β is a class \mathcal{KL} function.

Proof. Let us denote

$$F(z, \Delta) = \begin{pmatrix} x_2 \\ k_1 [x_1 + d_1]^{\frac{\alpha}{2-\alpha}} + k_2 [x_2 - \varepsilon_2 + \tilde{d}_2]^\alpha \\ \varepsilon_2 - \tilde{d}_2 + l_1 [\varepsilon_1 - \tilde{d}_1 + d_1]^{\frac{1}{2-\alpha}} \\ l_2 [\varepsilon_1 - \tilde{d}_1 + d_1]^{\frac{\alpha}{2-\alpha}} \end{pmatrix}.$$

Consider $z \neq 0$ and denote $\lambda = V(z)^{1/\mu}$ and $\zeta = \Lambda_{\tilde{\mathbf{R}}}^{-1} z$. We have $V(\zeta) = 1$. Finally, let us denote $-a = \sup_{V(w)=1} d_w V F(w, 0) < 0$ and $b = \sup_{V(w)=1} \|d_w V\| > 0$. We have

$$\begin{aligned} d_z V F(z, \Delta) &= \lambda^{\alpha-1+\mu} d_\zeta V F(\zeta, \Lambda_{\tilde{\mathbf{R}}}^{-1} \Delta) \\ &= V(z)^{\frac{\alpha-1+\mu}{\mu}} [d_\zeta V F(\zeta, 0) + \\ &\quad d_\zeta V (F(\zeta, \Lambda_{\tilde{\mathbf{R}}}^{-1} \Delta) - F(\zeta, 0))] \\ &\leq V(z)^{\frac{\alpha-1+\mu}{\mu}} [-a + b \|F(\zeta, \Lambda_{\tilde{\mathbf{R}}}^{-1} \Delta) - F(\zeta, 0)\|] \end{aligned}$$

By continuity of F , there exists $\varepsilon > 0$ such that if $\tilde{N}(\Delta) < \varepsilon$ then $\sup_{V(\zeta)=1} \|F(\zeta, \Delta) - F(\zeta, 0)\| < \frac{a}{2b}$. Hence, if $\tilde{N}(\Lambda_{\tilde{\mathbf{R}}}^{-1} \Delta) < \varepsilon$ we find that

$$d_z V F(z, \Delta) \leq -\frac{a}{2b} V(z)^{\frac{\alpha-1+\mu}{\mu}}. \quad (20)$$

That is (20) holds as long as $\lambda \geq \tilde{N}(\Delta)/\varepsilon$ or, equivalently, we have $V(z(t)) \leq \beta(V(z(0)), t)$ as long as $V(z) \geq C_1^\mu \tilde{N}(\Delta)^\mu$, where β is a class \mathcal{KL} function given by the integration of (20) and $C_1 = 1/\varepsilon$. The announced inequality follows. \square

Let us now study the variations of the input Δ through time.

$$\begin{aligned}
|d_1(t)| &= \left| \int_{\pi(t)}^t \dot{x}_1(\tau) d\tau \right| \\
&\leq \int_{\pi(t)}^t |x_2(\tau) - x_2(\pi(t))| d\tau + h|x_2(\pi(t))| \\
&\leq \int_{\pi(t)}^t \int_{\pi(t)}^{\tau} |u(\pi(t))| ds d\tau + h|x_2(\pi(t))| \\
&\leq h^2|u(\pi(t))| + h|x_2(\pi(t))|,
\end{aligned}$$

where $u(\pi(t)) = k_1[x_1(\pi(t))]^{\frac{\alpha}{2-\alpha}} + k_2[\tilde{x}_2(\kappa(t))]^\alpha$. Similarly, we get

$$\begin{aligned}
|\tilde{d}_2(t)| &\leq h|u(\pi(t)) - l_1[\varepsilon_1(\pi(t))]^{\frac{1}{2-\alpha}}| \\
|\tilde{d}_1(t)| &\leq h|\tilde{x}_2(\pi(t)) - l_2[\varepsilon_1(\pi(t))]^{\frac{\alpha}{2-\alpha}}|.
\end{aligned}$$

In the sequel, for the sake of simplicity, we assume that $h \leq 1$. Using classical arguments of homogeneous functions comparison (see for instance [30]), we deduce that there exists a constant $C_2 > 0$ such that, denoting

$$N(z) = V(z)^{1/\mu}, \quad (21)$$

we have $\tilde{N}(\Delta(t)) \leq \gamma_2(N(\pi(t)))$, where

$$\gamma_2(s) = C_2 h^{\frac{1}{2-\alpha}} \begin{cases} s^{\frac{\alpha}{2-\alpha}} & \text{if } s \leq 1 \\ s & \text{if } s \geq 1 \end{cases}.$$

The purpose of the consideration below is to prove that the system (19) is practically stable and converging to a ball, which radius is a class \mathcal{K} of h , provided that the following inequality holds

$$h < (C_1 C_2)^{\alpha-2}. \quad (22)$$

Denote $\theta(s) = s - C_1 \gamma_2(s)$ and

$$s_h = (C_1 C_2)^{\frac{2-\alpha}{2-2\alpha}} h^{\frac{1}{2-2\alpha}}. \quad (23)$$

Lemma 3. *For all $h > 0$ such that the condition (22) holds, the function θ is strictly increasing for $s > s_h$, $\theta(s_h) = 0$ and $\theta(s) \rightarrow +\infty$ when $s \rightarrow +\infty$.*

Proof. Let us distinguish 2 cases

- if $s \geq 1$, $\gamma_2(s) = C_2 h^{\frac{1}{2-\alpha}} s$ and hence $\theta(s) = (1 - C_1 C_2 h)s$ with $1 - C_1 C_2 h > 0$, thus θ is strictly increasing, positive and tends to infinity.
- if $s \leq 1$, $\gamma_2(s) = C_2 h^{\frac{1}{2-\alpha}} s^{\frac{\alpha}{2-\alpha}}$ and hence we have $\theta(s) = s^{\frac{\alpha}{2-\alpha}} (s^{\frac{2-2\alpha}{2-\alpha}} - C_1 C_2 h^{\frac{1}{2-\alpha}})$. It is clear that the function is positive and strictly increasing for $s > s_h$. \square

Theorem 7. *Under the conditions of Theorem 5, if (22) holds, then the set $K = \{N(z) \leq s_h\}$, which is a compact neighborhood of the origin, is globally asymptotically stable for the system (16) with s_h given by (23) and N given by (21).*

Proof. Let us first show the stability. By the Proposition 1 and the preceding discussion we have

$$N(z(t)) \leq \beta_0(N(z(t_0)), t-t_0) + C_1 \sup_{\tau \in [t_0, t]} \gamma_2(N(\pi(\tau))), \quad \forall t \geq t_0,$$

with β_0 a class \mathcal{KL} function. Since $\pi(t) \leq t$ and $\gamma_2 \in \mathcal{K}$, we have

$$N(z(t)) \leq \beta_0(N(z(t_0)), t-t_0) + C_1 \gamma_2\left(\sup_{\tau \in [t_0, t]} N(\tau)\right), \quad \forall t \geq t_0. \quad (24)$$

Let t_{max} belongs to the interval of definition of $z(t)$, for $t \in [0, t_{max}]$ we have

$$N(z(t)) \leq \beta_0(N(z(0)), 0) + C_1 \gamma_2\left(\sup_{\tau \in [0, t_{max}]} N(\tau)\right), \quad (25)$$

and thus $\theta\left(\sup_{\tau \in [0, t_{max}]} N(z(\tau))\right) \leq \beta_0(N(z(0)), 0)$. By Lemma 3, the function $\tilde{\theta} : \sigma \mapsto \theta(\sigma + s_h)$ is a class \mathcal{K} function. Hence we get that $\sup_{\tau \in [0, t_{max}]} N(z(\tau)) \leq s_h + \tilde{\theta}^{-1}(\beta_0(N(z(0)), 0))$. This inequality being true for all t_{max} , it yields that

$$N(z(\tau)) \leq s_h + \tilde{\theta}^{-1}(\beta_0(N(z(0)), 0)) \quad \forall t \geq 0,$$

that is, the set K is stable.

Let us now prove that $\limsup_{t \rightarrow \infty} N(z(t)) \leq s_h$. The function β_0 being of class \mathcal{KL} , for all $\varepsilon > 0$ there exists $T_0 \geq 0$ such that for all $t - t_0 \geq T_0$, we have $\beta_0(N(z(t_0)), t - t_0) \leq \varepsilon$. Therefore, for all $t \geq t_0 + T_0$

$$\begin{aligned}
N(z(t)) &\leq \varepsilon + C_1 \gamma_2\left(\sup_{\tau \geq t_0} N(z(\tau))\right) \\
\sup_{\tau \geq t_0 + T_0} N(z(t)) &\leq \varepsilon + C_1 \gamma_2\left(\sup_{\tau \geq t_0} N(z(\tau))\right) \\
\lim_{t_0 \rightarrow +\infty} \sup_{\tau \geq t_0 + T_0} N(z(t)) &\leq \varepsilon + C_1 \gamma_2\left(\lim_{t_0 \rightarrow +\infty} \sup_{\tau \geq t_0} N(z(\tau))\right) \\
\limsup_{t \rightarrow \infty} N(z(t)) &\leq \varepsilon + C_1 \gamma_2(\limsup_{t \rightarrow \infty} N(z(t))) \\
\theta(\limsup_{t \rightarrow \infty} N(z(t))) &\leq \varepsilon.
\end{aligned}$$

This last inequality is true for any $\varepsilon > 0$, therefore we have $\theta(\limsup_{t \rightarrow \infty} N(z(t))) \leq 0$ and thus $\limsup_{t \rightarrow \infty} N(z(t)) \leq s_h$ by Lemma 3. \square

Theorem 7 is qualitative, it proves that, provided that the step h is small enough, there exists a constant $C > 0$ such that the state of the system converges to the set $K = \{N \leq C h^{\frac{1}{2-2\alpha}}\}$. This fact has two consequences. The first one is purely theoretical: the discretized system is practically stable. This information is interesting because it ensures us that the state of the system will not blow up and furthermore will reach a neighborhood of the desired equilibrium. However, in practice, this information is not sufficient if we do not have an estimation on the neighborhood. But Theorem 7 actually yields another information. The asymptotically stable set has a particular shape, in fact a homogeneous ball, which radius is proportional to a power of the sampling step. The proportionality constant C is unknown, but it can be evaluated via a numerical estimation technique. For instance, assume that we know an estimation of the asymptotically stable set for a given step h_0 . Given that K is a homogeneous ball with radius proportional to a power of h , we can deduce from our estimation the shape taken by the asymptotically stable set under a change of sampling step. For instance, if we sample twice faster, the radius of the homogeneous ball of convergence will be divided by $2^{\frac{1}{2-2\alpha}}$. We can also conversely compute a step such that the attracting set is inside a given ball in the state space. By the way, we remark that the increasing the value of α implies shrinking the size of K . Hence, the parameter α should be selected according to the desired behavior of the system.

Let us finally mention that the theory of homogeneity allowed us to circumvent the explicit construction of a Lyapunov function. The results are demonstrated using qualitative methods and the properties that we have proved are hence qualitative. Up to now, no homogeneous Lyapunov function is known for the system (14), although Theorem 2 ensures us that such a function exists. But if, in the future, such a function happened to be found, the constant C could be numerically estimated. Indeed, the constant C_2 can already be written as a function of the gains k_1, k_2, l_1 and l_2 and of the constant α , while the construction of the constant C_1 is given in the proof of the Proposition 1. Doing this would turn Theorem 7 from a qualitative to a quantitative result.

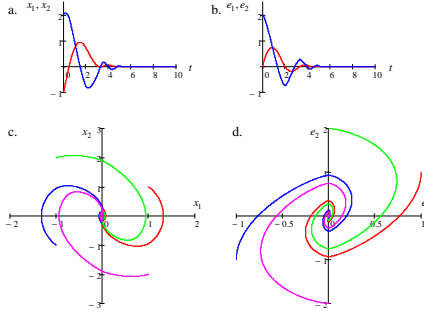


Figure 1. The results of simulation without disturbances, $h = 0.002$

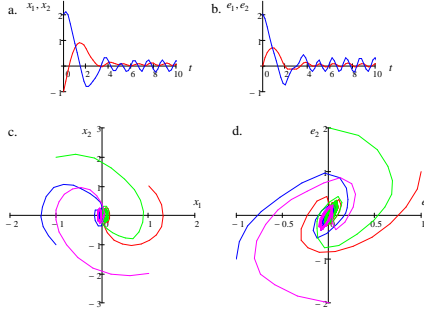


Figure 2. The results of simulation with disturbances, $h = 0.2$

V. SIMULATIONS

Select $\alpha = 0.6$, $\beta = \frac{1}{2-\alpha}$ and $k_1 = -1$, $k_2 = -2$, $l_1 = -1$, $l_2 = -2$, then clearly the conditions of Theorems 5 are satisfied.

The results of the system simulation are presented in figures 1, 2. In figures 1.a, 2.a and 1.b, 2.b the examples of transients in time are given for the system state (x_1, x_2) and the estimation error (e_1, e_2) respectively. In the case of Fig. 1 all disturbances are selected to be zero, the step of simulation $h = 0.002$. In the case of Fig. 2 $d_1(t) = 0.1 \sin(5t)$ and $d_3(t) = 0.1 \cos(6t)$ with $h = 0.2$ (the disturbances $d_2(t)$, $\bar{d}_1(t)$ and $\bar{d}_2(t)$ are generated by the computational procedure used for simulation). As we can conclude from the results presented in Fig. 1, the system is converging to zero in a finite time for both pairs of variables, and the convergence is also monotone (that justifies the theoretical results obtained above). From Fig. 2 we see that the trajectories stay bounded in the presence of disturbances and that they converge to some ball around the origin even for a rather large simulation step h .

VI. CONCLUSION

The problems of finite-time control and state estimation for the double integrator are studied. A finite-time output control is designed. An extension of applicability conditions of the homogeneous control algorithm from [11] is obtained. An improved robustness of the proposed output control with respect to the result of [28] is proven. It is shown that discretization does not destroy stability of the presented control algorithm. The efficiency of the obtained solution is demonstrated by computer simulations.

Development of the approach to the case of n^{th} -dimensional integrator and evaluation of the settling time function are the possible future directions of the research.

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